

## Statement to Sophisticated Municipal Market Participants

In accordance with the requirements of MSRB Rule G-10 (Investor and Municipal Advisory Client Education and Protection), Regional Brokers, Inc. offers the following information:

Regional Brokers, Inc. is registered with the U.S. Securities and Exchange Commission and the Municipal Securities Rulemaking Board; and,

Regional Brokers Inc. hereby makes you aware of the availability to you of an investor brochure that is posted on the website of the Municipal Securities Rulemaking Board at [www.msrb.org](http://www.msrb.org) that describes the protections that may be provided by the Municipal Securities Rulemaking Board rules and how to file a complaint with an appropriate regulatory authority.

For further information, please contact Regional Brokers, inc.'s Chief Compliance Officer at 215-567-5400.